Appendix 1



STOCKTON-ON-TEES BOROUGH COUNCIL ASC UPDATE

15 December 2020



Carl Swift, Inspector

Our purpose



The Care Quality
Commission is the
independent regulator of
health and adult social care
in England.

We make sure health and social care services provide people with safe, effective, compassionate, high-quality care and we encourage care services to improve.



Our current model of regulation



Register

We register those who apply to CQC to provide health and adult social care services

Monitor, inspect and rate

We monitor
services, carry
out expert
inspections,
and judge each
service, usually
to give an
overall rating,
and conduct
thematic
reviews

Enforce

Where we find poor care, we ask providers to improve and can **enforce** this if necessary

Independent voice

We provide an independent voice on the state of health and adult social care in England on issues that matter to the public, providers and stakeholders

COVID-19 and our regulatory approach



- Throughout the pandemic, our regulatory role did not change core purpose remained keeping people safe
- Routine inspection programme paused
- Emergency Support Framework (ESF)
- Infection Prevention and Control (IPC) thematic reviews and risk inspections
- Designated setting inspections
- IPC outbreak inspections
- Thematic work, e.g. Reviewing the use of do not resuscitate decisions during COVID-19

IPC inspections



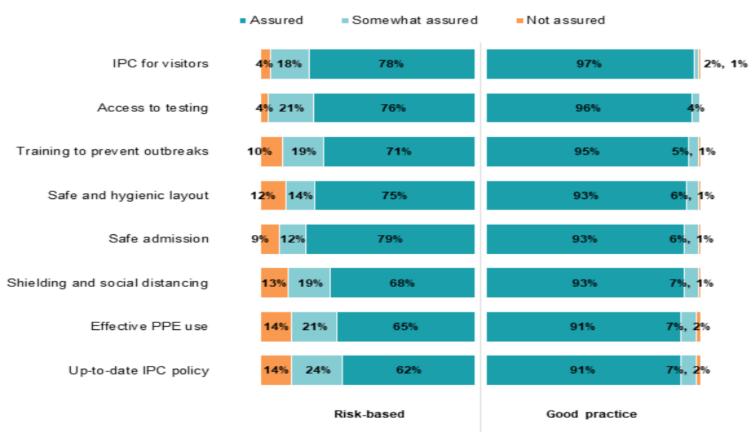
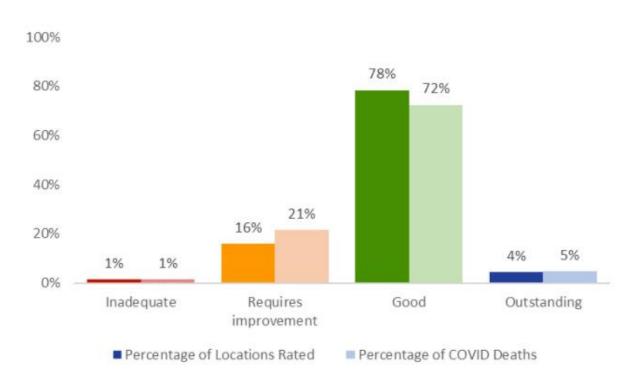


Figure 1: Assurance against IPC themes for care homes inspected between 1 August and 4 September 2020 (440 care homes in sample)

IPC inspections



Deaths of people in care homes, by ratings



Source: CQC ratings, November 2020; notifications of deaths under Statutory Notification 16 to CQC, 10 April to 6 November 2020, where confirmed or suspected COVID-19 was flagged.

Transitional Regulatory Approach (TRA)



TRA is flexible and builds on what we learned during the height of the pandemic:

- Clear areas of focus based on existing Key Lines of Enquiry (KLOEs), to enable us to continually monitor risk in a service
- Use of technology and our local relationships to have better direct contact with people, their families and staff
- Targeted and focused inspections where we have concerns, without returning to a routine programme of planned inspections
- Transitional approach while new strategy developed

Tees Valley Team



- Christine Wharton and Kathryn Reid Inspection Managers
- Seven inspectors
- Covers Stockton on Tees,
 Middlesbrough and Redcar and Cleveland
- North East and Coast hub



Working with local authority



- Attend information sharing meetings
- Contact prior to inspection for latest intelligence
- Feedback on problematic services
- Attend serious concerns meetings and RRI meetings
- Safeguarding work and attend Teeswide Safeguarding Board



Active locations and ratings – Stockton-on-Tees



Service composition at 31 January 2020

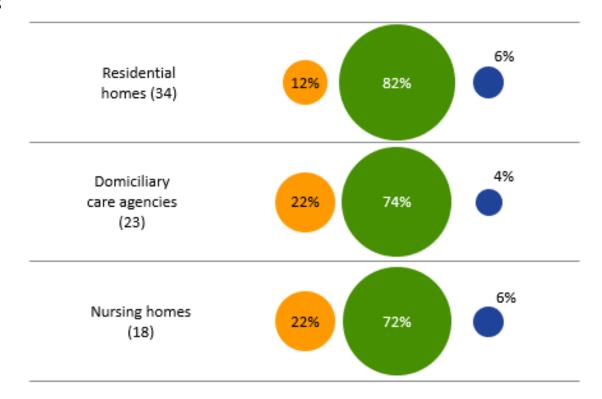
83 active locations, comprising:

19 nursing homes

34 residential homes

30 domiciliary care agencies

1 Extra Care housing service



In addition, Meadowfield House (Extra Care housing) is rated good

Local comparisons









www.cqc.org.uk enquiries@cqc.org.uk

@ CareQualityComm